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DEPARTMENT OF COMMERCE

National Institute of Standards and Technology

[Docket Number: 160603485-6485-01]

Award Competitions for Hollings Manufacturing Extension Partnership (MEP) Centers in the States of Delaware, Hawaii, Iowa, Kansas, Maine, Mississippi, New Mexico, Nevada, North Dakota, South Carolina and Wyoming

**AGENCY:** National Institute of Standards and Technology (NIST), United States Department of Commerce (DoC).

**ACTION:** Notice of Funding Availability.

**SUMMARY:** NIST invites applications from eligible organizations in connection with NIST's funding up to eleven (11) separate MEP cooperative agreements for the operation of MEP Centers in the designated States' service areas and in the funding amounts identified in the Funding Availability section of this notice. NIST anticipates awarding one (1) cooperative agreement for each of the identified States. The objective of this announcement by the MEP

Program is to provide manufacturing extension services to primarily small and medium-sized manufacturers within the States designated in the Funding Availability section of this notice. The selected organizations will become part of the MEP national system of extension service providers, currently located throughout the United States and Puerto Rico.

**DATES:** Electronic applications must be received no later than 11:59 p.m. Eastern Time on Tuesday, September 27, 2016. Paper applications will not be accepted. Applications received after the deadline will not be reviewed or considered. The approximate start date for awards under this notice and the corresponding FFO is expected to be April 1, 2017.

**ADDRESSES:** Applications must be submitted electronically through [www.grants.gov](http://www.grants.gov). NIST will not accept applications submitted by mail, facsimile, or by email.

**FOR FURTHER INFORMATION CONTACT:** Administrative, budget, cost-sharing, and eligibility questions and other programmatic questions should be directed to Diane Henderson at Tel: (301) 975-5105; Email: [mepffo@nist.gov](mailto:mepffo@nist.gov); Fax: (301) 963-6556. Grants Rules and Regulation questions should be addressed to: Matthew Jones, Grants Management Division, National Institute of Standards and Technology, 100 Bureau Drive, Stop 1650, Gaithersburg, MD 20899-1650; Tel: (301) 975-3698; Email: [matthew.jones@nist.gov](mailto:matthew.jones@nist.gov); Fax: (301) 975-6368. For technical assistance with Grants.gov submissions contact Christopher Hunton at Tel: (301) 975-5718; Email: [grants@nist.gov](mailto:grants@nist.gov); Fax: (301) 975-8884. Questions submitted to NIST/MEP

may be posted as part of an FAQ document, which will be periodically updated on the MEP website at <http://nist.gov/mep/ffo-state-competitions-04.cfm>.

#### **SUPPLEMENTARY INFORMATION:**

**Electronic access:** Applicants are strongly encouraged to read the corresponding FFO announcement available at [www.grants.gov](http://www.grants.gov) for complete information about this program, including all program requirements and instructions for applying electronically. Paper applications or electronic applications submitted other than through [www.grants.gov](http://www.grants.gov) will not be accepted. The FFO may be found by searching under the Catalog of Federal Domestic Assistance Name and Number provided below.

**System Award Management registration required:** When developing your submission timeline, please keep in mind that (1) all applicants are required to have a current registration in the System for Award Management (SAM.gov); (2) the free annual registration process in the electronic System for Award Management (SAM.gov) may take between three and five business days, or as long as more than two weeks; (3) applicants submitting electronic applications are required to have a current registration in Grants.gov; and (4) applicants will receive a series of e-mail messages from Grants.gov over a period of up to two business days before learning whether a Federal agency's electronic system has received its application. Please note that a Federal assistance award cannot be issued if the designated recipient's registration in the SAM.gov is not current at the time of the award.

**Authority:** 15 U.S.C. 278k, as implemented in 15 CFR part 290.

**Catalog of Federal Domestic Assistance Name and Number:** Manufacturing Extension Partnership - 11.611.

**Webinar Information Session:** NIST/MEP will hold one or more webinar information sessions for organizations that are considering applying to this opportunity. These webinars will provide general information regarding MEP and offer general guidance on preparing proposals. NIST/MEP staff will be available on the webinars to answer general questions. During the webinars, proprietary technical discussions about specific project ideas will not be permitted. Also, NIST/MEP staff will not critique or provide feedback on any specific project ideas during the webinars or at any time before submission of a proposal to MEP. However, NIST/MEP staff will provide information about the MEP eligibility and cost sharing requirements, evaluation criteria and selection factors, selection process, and the general characteristics of a competitive MEP proposal during this webinar, and by phone and e-mail. The webinars will be held approximately fifteen (15) to thirty (30) business days after posting of the corresponding FFO. The exact dates and times of the webinars will be posted on the MEP website at <http://nist.gov/mep/ffo-state-competitions-04.cfm>. The webinars will be recorded, and a link to the recordings will be posted on the MEP website. In addition, the webinar presentations will be available on the MEP website. Organizations wishing to participate in one or more webinar(s) must sign up by e-mailing [mepffo@nist.gov](mailto:mepffo@nist.gov). Participation in the webinars is not required in

order for an organization to submit an application pursuant to this notice and the corresponding FFO.

**Program Description:** NIST invites applications from eligible organizations in connection with NIST's funding up to eleven (11) separate cooperative agreements for the operation of MEP Centers in the designated States' service areas and in the funding amounts identified in Section II.2. of the corresponding FFO. NIST anticipates awarding one (1) cooperative agreement for each of the identified States. The objective of this announcement by the MEP Program is to provide manufacturing extension services to primarily small and medium-sized manufacturers within the States designated in the Funding Availability section of this notice. The selected organizations will become part of the MEP national system of extension service providers, located throughout the United States and Puerto Rico.

The MEP program is not a Federal research and development program. It is not the intent of the program that awardees will perform systematic research.

To learn more about the MEP program, please go to <http://www.nist.gov/mep/>.

**Funding Availability:** NIST anticipates funding up to eleven (11) MEP Center awards with an initial five-year period of performance in accordance with the multi-year funding policy described below and in Section II.3. of the corresponding FFO. Funding for the awards listed below and in the corresponding FFO is contingent upon the availability of appropriated funds.

The table below lists the eleven (11) States identified for funding as part of this notice and the corresponding FFO and the estimated amount of funding available for each:

<b>MEP Center Location and Assigned Geographical Service Area (by State)</b>	<b>Anticipated Annual Federal Funding for Each Year of the Award</b>	<b>Total Federal Funding for 5 Year Award Period</b>
Delaware	\$500,000	\$2,500,000
Hawaii	\$500,000	\$2,500,000
Iowa	\$1,859,206	\$9,296,030
Kansas	\$1,864,950	\$9,324,750
Maine	\$863,522	\$4,317,610
Mississippi	\$1,003,782	\$5,018,910
New Mexico	\$1,360,802	\$6,804,010
Nevada	\$756,001	\$3,780,005
North Dakota	\$500,000	\$2,500,000
South Carolina	\$2,268,003	\$11,340,015
Wyoming	\$500,000	\$2,500,000

Applicants may propose annual Federal funding amounts that are different from the anticipated annual Federal funding amounts set forth in the above table, provided that the total amount of Federal funding being requested by an applicant does not exceed the total amount of Federal funding for the five-year award period as set forth in the above table. For example, if the anticipated annual Federal funding amount for an MEP Center is \$500,000 and the total Federal funding amount for the five-year award period is \$2,500,000, an applicant may propose Federal funding amounts greater, less than, or equal to \$500,000 for any year or years of the award, so long as the total amount of Federal funding being requested by the applicant for the entire five-year award period does not exceed \$2,500,000.

**Multi-Year Funding Policy.** When an application for a multi-year award is approved, funding will usually be provided for only the first year of the project. Recipients will be required to submit detailed budgets and budget narratives prior to the award of any continued funding. Continued funding for the remaining years of the project will be awarded by NIST on a non-competitive basis, and may be adjusted higher or lower from year-to-year of the award, contingent upon satisfactory performance, continued relevance to the mission and priorities of the program, and the availability of funds. Continuation of an award to extend the period of performance and/or to increase or decrease funding is at the sole discretion of NIST.

**Potential for Additional 5 Years.** Initial awards issued pursuant to this notice and the corresponding FFO are expected to be for up to five (5) years with the possibility for NIST to renew the award, on a non-competitive basis, for an additional 5 years at the end of the initial award period. The review processes described in 15 CFR 290.8 will be used as part of the overall assessment of the recipient, consistent with the potential long-term nature and purpose of the program. In considering renewal for a second five-year, multi-year award term, NIST will evaluate the results of the annual reviews and the results of the 3rd Year peer-based Panel Review findings and recommendations as set forth in 15 CFR 290.8, as well as the Center's progress in addressing findings and recommendations made during the various reviews. The full process is expected to include programmatic, policy, financial, administrative, and responsibility assessments, and the availability of funds, consistent with Department of Commerce and NIST policies and procedures in effect at that time.

## **Kick-Off Conferences**

Each recipient will be required to attend a kick-off conference, which will be held within 30 days post start date of award, to help ensure that the MEP Center operator has a clear understanding of the program and its components. The kick-off conference will take place at NIST/MEP headquarters in Gaithersburg, MD, during which time NIST will: (1) orient MEP Center key personnel to the MEP program; (2) explain program and financial reporting requirements and procedures; (3) identify available resources that can enhance the capabilities of the MEP Center; and (4) negotiate and develop a detailed three-year operating plan with the recipient. NIST/MEP anticipates an additional set of site visits at the MEP Center and/or telephonic meetings with the recipient to finalize the three-year operating plan.

The kick-off conference will take up to approximately three days and must be attended by the MEP Center Director, along with up to two additional MEP Center employees. Applicants must include travel and related costs for the kick-off conference as part of the budget for year one (1), and these costs should be reflected in the SF-424A form. (*See* Section IV.2.a.(2) of the corresponding FFO). These costs must also be reflected in the budget table and budget narrative for year 1, which is submitted as part of the budget tables and budget narratives section of the Technical Proposal. (*See* Section IV.2.a.(6).(e). of the corresponding FFO.) Representatives from key subrecipients and other key strategic partners may attend the kick-off conference with the prior written approval of the Grants Officer. Applicants proposing to have key subrecipients and/or other key strategic partners attend the kick-off conference should clearly indicate so as part of the budget narrative for year one of the project.



## **MEP System-Wide Meetings**

NIST/MEP typically organizes system-wide meetings approximately four times a year in an effort to share best practices, new and emerging trends, and additional topics of interest. These meetings are rotated throughout the United States and typically involve 3-4 days of resource time and associated travel costs for each meeting. The MEP Center Director must attend these meetings, along with up to two additional MEP Center employees.

Applicants must include travel and related costs for four quarterly MEP system-wide meetings in each of the five (5) project years (4 meetings per year; 20 total meetings over five-year award period). These costs must be reflected in the SF-424A form (*see* Section IV.2.a.(2). of the corresponding FFO). These costs must also be reflected in the budget tables and budget narratives for each of the project's five (5) years, which are submitted in the budget tables and budget narratives section of the Technical Proposal. (*See* Section IV.2.a.(6).(e). of the corresponding FFO). A suggested budget summary table and narrative template for Year 1 and budget summary table for Years 2-5 are available on the MEP website, <http://nist.gov/mep/ffo-state-competitions-04.cfm>.

**Cost Share or Matching Requirement:** Non-Federal cost sharing of at least 50 percent of the total project costs is required for each of the first through the third year of the award, with an

increasing minimum non-Federal cost share contribution beginning in year 4 of the award as follows:

Award Year	Maximum NIST Share	Minimum Non-Federal Share
1-3	1/2	1/2
4	2/5	3/5
5 and beyond	1/3	2/3

Non-Federal cost sharing is that portion of the project costs not borne by the Federal Government. The applicant's share of the MEP Center expenses may include cash, services, and third party in-kind contributions, as described at 2 CFR 200.306, as applicable, and in the MEP program regulations at 15 CFR 290.4(c). No more than 50% of the applicant's total non-Federal cost share for any year of the award may be from third party in-kind contributions of part-time personnel, equipment, software, rental value of centrally located space, and related contributions, per 15 CFR 290.4(c)(5). The source and detailed rationale of the cost share, including cash, full- and part-time personnel, and in-kind donations, must be documented in the budget tables and budget narratives submitted with the application and will be considered as part of the review under the evaluation criterion found in the Evaluation Criteria section of this notice and in Section V.1.c.ii. of the corresponding FFO.

Recipients must meet the minimum non-Federal cost share requirements for each year of the award as identified in the chart above. For purposes of the MEP program, “program income” (as defined in 2 CFR 200.80, as applicable) generated by an MEP Center may be used by a recipient towards the required non-Federal cost share under an MEP award.

As with the Federal share, any proposed costs included as non-Federal cost sharing must be an allowable/eligible cost under this program and under the Federal cost principles set forth in 2 CFR part 200, subpart E. Non-Federal cost sharing incorporated into the budget of an approved MEP cooperative agreement is subject to audit in the same general manner as Federal award funds. *See* 2 CFR part 200, subpart F.

As set forth in Section IV.2.a.(7). of the corresponding FFO, a letter of commitment is required from an authorized representative of the applicant, stating the total amount of cost share to be contributed by the applicant towards the proposed MEP Center. Letters of commitment for all other third-party sources of non-Federal cost sharing identified in a proposal are not required, but are strongly encouraged.

**Eligibility:** The eligibility requirements set forth here and in Section III.1. of the corresponding FFO will be used in lieu of and to the extent they are inconsistent with will supersede those given in the MEP regulations found at 15 CFR part 290, specifically 15 CFR 290.5(a)(1). Each applicant for and recipient of an MEP award must be a U.S.-based nonprofit institution or organization. For the purpose of this notice and the corresponding FFO, nonprofit institutions

include public and private nonprofit organizations, nonprofit or State colleges and universities, public or nonprofit community and technical colleges, and State, local or Tribal governments. Existing MEP awardees and new applicants that meet the eligibility criteria set forth here and in Section III.1. of the corresponding FFO may apply. An eligible organization may work individually or may include proposed subawards to eligible organizations or proposed contracts with any other organization as part of the applicant's proposal, effectively forming a team. However, as discussed in Section I.4. of the corresponding FFO, NIST generally will not fund applications that propose an organizational or operational structure that, in whole or in part, delegates or transfers to another person, institution, or organization the applicant's responsibility for MEP Core Management and Oversight functions. In addition, the applicant must have or propose an Oversight Board or Advisory Committee and Governance structure or plan for establishing a board structure within 90 days from the award start date (Refer to Section I.3. of the corresponding FFO). This program requires non-Federal cost share of at least 50 percent of the total allowable project costs for the first through the third years of operation, with increasing minimum non-Federal cost share requirements beginning in year four (4) of the award. *See* Cost Share or Matching Requirement section of this notice and Section III.2. of the corresponding FFO for more information on the non-Federal cost sharing requirements under MEP awards.

**Application Requirements:** Applications must be submitted in accordance with the requirements set forth in Section IV. of the corresponding FFO announcement, which are in lieu of and to the extent they are inconsistent with will supersede any application requirements set

forth in 15 CFR 290.5. *See specifically* Sections IV.2.a.(1)., IV.2.a.(2)., and IV.2.a.(7). in the Full Announcement Text of the corresponding FFO.

**Application/Review Information:** The evaluation criteria, selection factors, and review and selection process provided in this section and in Section V. of the corresponding FFO will be used for this competition in lieu of and to the extent they are inconsistent with will supersede those provided in the MEP regulations found at 15 CFR part 290, specifically 15 CFR 290.6 and 290.7.

**Evaluation Criteria:** The evaluation criteria that will be used in evaluating applications and assigned weights, with a maximum score of 100, are listed below.

a. **Project Narrative. (40 points; Sub-criteria i through iv will be weighted equally)**

NIST/MEP will evaluate the extent to which the applicant's Project Narrative demonstrates how the applicant's methodology will efficiently and effectively establish an MEP Center and provide manufacturing extension services to primarily small and medium-sized manufacturers in the applicable State-wide geographical service area identified in Section II.2. of the corresponding FFO. Reviewers will consider the following topics when evaluating the Project Narrative:

- i. **Center Strategy.** Reviewers will assess the applicant's strategy proposed for the Center to deliver services that meet manufacturers' needs, generate client impacts

(e.g., cost savings, increased sales, etc.), and support a strong manufacturing ecosystem. Reviewers will assess the quality with which the applicant:

- incorporates the market analysis described in the criterion set forth in paragraph a.ii.(1) below and Section V.1.a.ii.(1). of the corresponding FFO to inform strategies, products and services;
- defines a strategy for delivering services that balances market penetration with impact and revenue generation, addressing the needs of manufacturers, with an emphasis on the small and medium-sized manufacturers;
- defines the Center's existing and/or proposed roles and relationships with other entities in the State's manufacturing ecosystem, including State, regional, and local agencies, economic development organizations and educational institutions such as universities and community or technical colleges, industry associations, and other appropriate entities;
- plans to engage with other entities in Statewide and/or regional advanced manufacturing initiatives; and
- supports achievements of the MEP mission and objectives while also satisfying the interests of other stakeholders, investors, and partners.

**ii. Market Understanding.** Reviewers will assess the strategy proposed for the Center to define the target market, understand the needs of manufacturers (especially Small and Medium Enterprises (SMEs)), and to define appropriate services to meet identified needs.

Reviewers will evaluate the proposed approach for regularly updating this understanding through the five years. The following sub-topics will be evaluated and given equal weight:

**(1) Market Segmentation.** Reviewers will assess the quality and extent of the applicant's market segmentation strategy including:

- Segmentation of company size, geography, and industry priorities including some consideration of rural, start-up (a manufacturing establishment that has been in operation for five years or less) and/or very small manufacturers as appropriate to the state;
- alignment with state and/or regional initiatives; and
- other important factors identified by the applicant.

**(2) Needs Identification and Product/Service Offerings.** Reviewers will assess the quality and extent of the applicant's proposed needs identification and proposed products and services for both sales growth and operational improvement in response to the applicant's market segmentation and understanding assessed by reviewers under paragraph a.ii.(1) above and Section V.1.a.ii.(1) of the corresponding FFO. Of particular interest is how the applicant would leverage new manufacturing technologies, techniques and processes usable by small and medium-sized manufacturers. Reviewers will also consider how an applicant's proposed approach will support a job-driven training agenda with manufacturing clients. (To learn more about the White House job-driven training agenda,

please go to:

[https://www.whitehouse.gov/sites/default/files/docs/ready\\_to\\_work\\_factsheet.pdf](https://www.whitehouse.gov/sites/default/files/docs/ready_to_work_factsheet.pdf)).

**iii. Business Model.** Reviewers will assess the quality, feasibility and potential efficacy and efficiency of the applicant's proposed business model for the Center as provided in the Project Narrative, Qualifications of the Applicant; Key Personnel, Organizational Structure and Management, and the Budget Tables and Budget Narratives sections of its Technical Proposal, submitted under section IV.2.a.(6). of the corresponding FFO, and the likelihood that the proposed business model will result in the Center's ability to successfully execute the strategy evaluated under criterion set forth in paragraph a.1. above and Section V.1.a.i. of the corresponding FFO, based on the market understanding evaluated under criterion set forth in paragraph a.ii. above and Section V.1.a.ii. of the corresponding FFO. The following sub-topics will be evaluated and given equal weight:

**(1) Outreach and Service Delivery to the Market.** Reviewers will assess the extent to which the proposed Center is organized to:

- identify, reach and provide proposed services to key market segments and individual manufacturers described above;
- work with a manufacturer's leadership in strategic discussions related to new technologies, new products and new markets; and
- leverage the applicant's past experience in working with small and medium-sized manufacturers as a basis for future programmatic success.



**(2) Partnership Leverage and Linkages.** Reviewers will assess the extent to which the proposed Center will make effective use of resources or partnerships with third parties such as industry, universities, community/technical colleges, nonprofit economic development organizations, and Federal, State and Local Government Agencies in the Center's business model.

**iv. Performance Measurement and Management.** Reviewers will assess the extent to which the applicant will use a systematic approach to measuring and managing performance including the:

- quality and extent of the applicant's stated goals, milestones and outcomes described by operating year (year 1, year 2, etc.);
- applicant's utilization of client-based business results important to stakeholders in understanding program impact; and
- depth of the proposed methodology for program management and internal evaluation likely to ensure effective operations and oversight for meeting program and service delivery objectives.

**b. Qualifications of the Applicant; Key Personnel, Organizational Structure and Management; and Oversight Board or Advisory Committee and Governance (30 points; Sub-criteria i and ii will be weighted equally).** Reviewers will assess the ability of the key personnel, the applicant's management structure and Oversight Board or Advisory

Committee and Governance to deliver the program and services envisioned for the Center.

Reviewers will consider the following topics when evaluating the qualifications of the applicant and of program management:

**i. Key Personnel, Organizational Structure and Management.** Reviewers will assess the extent to which the:

- proposed key personnel have the appropriate experience and education in manufacturing, outreach, program management and partnership development to support achievements of the MEP mission and objectives;
- proposed management structure and organizational roles are aligned to plan, direct, monitor, organize and control the monetary resources of the proposed center to achieve its business objectives (Refer to Section I.4. of the corresponding FFO);
- proposed organizational structure flows logically from the specified approach to the market and products and service offerings; and
- proposed field staff structure sufficiently supports the geographic concentrations and industry targets for the region.

**ii. Oversight Board or Advisory Committee and Governance.** Reviewers will assess the extent to which the:

- proposed Oversight Board or Advisory Committee and its operations are complete, appropriate and will meet the program's objectives at the time of

award, or, if such an Oversight Board or Advisory Committee does not exist at the time of application or is not expected to meet these requirements at the time of award, the extent to which the proposed plan for developing and implementing such an Oversight Board or Advisory Committee within 90 days of award start date (expected to be April 1, 2017) is feasible. (Refer to Section I.3. of the corresponding FFO).

- Oversight Board or Advisory Committee and Governance is engaged with overseeing and guiding the Center and supports its own development through a schedule of regular meetings, and processes ensuring Oversight Board or Advisory Committee involvement in strategic planning, recruitment, selection and retention of board members, board assessment practices and board development initiatives (Refer to Section I.3. of the corresponding FFO).

**c. Budget and Financial Plan. (30 points; Sub-criteria i and ii will be weighted equally)**

Reviewers will assess the suitability and focus of the applicant's five (5) year budget. The application will be assessed in the following areas:

**i. Budget.** Reviewers will assess the extent to which:

- the proposed financial plan is aligned to support the execution of the proposed Center's strategy and business model over the five (5) year project plan;
- the proposed projections for income and expenditures are appropriate for the scale of services that are to be delivered by the proposed Center and the

service delivery model envisioned within the context of the overall financial model over the five (5) year project plan;

- a reasonable ramp-up or scale-up scope and budget has the Center fully operational by the 4th year of the project; and
- the proposal's narrative for each of the budgeted items explains the rationale for each of the budgeted items, including assumptions the applicant used in budgeting for the Center.

**ii. Quality of the Financial Plan for Meeting the Award's Non-Federal Cost Share**

**Requirements over 5 Years.** Reviewers will assess the quality of and extent to which the:

- applicant clearly describes the total level of cost share and detailed rationale of the cost share, including cash and in-kind, in their proposed budget.
- applicant's funding commitments for cost share are documented by letters of support from the applicant, proposed sub-recipients and any other partners identified and meet the basic matching requirements of the program;
- applicant's cost share meets basic requirements of allowability, allocability and reasonableness under applicable Federal costs principles set forth in 2 CFR 200, subpart E;
- applicant's underlying accounting system is established or will be established to meet applicable Federal costs principles set forth in 2 CFR 200, subpart E; and

- the overall proposed financial plan is sufficiently robust and diversified so as to support the long term sustainability of the Center throughout the five (5) years of the project plan.

**Selection Factors:** The Selection Factors for this notice as set forth here and in Section V.3. of the corresponding FFO are as follows:

- a. The availability of Federal funds;
- b. Relevance of the proposed project to MEP program goals and policy objectives;
- c. Reviewers' evaluations, including technical comments;
- d. The need to assure appropriate distribution of MEP services within the designated State;
- e. Whether the project duplicates other projects funded by DoC or by other Federal agencies; and
- f. Whether the application complements or supports other Administration priorities, or projects supported by DoC or other Federal agencies, such as but not limited to the National Network for Manufacturing Innovation and the Investing in Manufacturing Communities Partnership.

**Review and Selection Process:**

Proposals, reports, documents and other information related to applications submitted to NIST and/or relating to financial assistance awards issued by NIST will be reviewed and considered by Federal employees, Federal agents and contractors, and/or by non-Federal personnel who enter into nondisclosure agreements covering such information as set forth here and in Section V.2. of

the corresponding FFO, which will be used for this competition in lieu of and to the extent they are inconsistent with will supersede the review and selection process provided in the MEP regulations found at 15 CFR part 290, specifically 15 CFR 290.7.

**(1) Initial Administrative Review of Applications.** An initial review of timely received applications will be conducted to determine eligibility, completeness, and responsiveness to this notice and the corresponding FFO and the scope of the stated program objectives. Applications determined to be ineligible, incomplete, and/or non-responsive may be eliminated from further review. However, NIST, in its sole discretion, may continue the review process for an application that is missing non-substantive information that can easily be rectified or cured.

**(2) Full Review of Eligible, Complete, and Responsive Applications.** Applications that are determined to be eligible, complete, and responsive will proceed for full reviews in accordance with the review and selection processes below. Eligible, complete and responsive applications will be grouped by the State in which the proposed MEP Center is to be established. The applications in each group will be reviewed by the same reviewers and will be evaluated, reviewed, and selected as described below in separate groups.

**(3) Evaluation and Review.** Each application will be reviewed by at least three technically qualified individual reviewers who will evaluate each application based on the evaluation

criteria (*see* Evaluation Criteria section of this notice and Section V.1. of the corresponding FFO). Applicants may receive written follow-up questions in order for the reviewers to gain a better understanding of the applicant's proposal. Each reviewer will provide a written technical assessment against the evaluation criteria and based on that assessment will assign each application a numeric score, with a maximum score of 100. If a non-Federal reviewer is used, the reviewers may discuss the applications with each other, but scores will be determined on an individual basis, not as a consensus.

Applicants whose applications receive an average score of 70 or higher out of 100 will be deemed finalists. If deemed necessary, finalists will be invited to participate with reviewers in a conference call and/or a video conference, and/or finalists will be invited to participate in a site visit that will be conducted by the same reviewers at the applicant's location. In any event, if there are two (2) or more finalists within a state, conference calls, video conferences or site visits will be conducted with each finalist. Finalists will be reviewed and evaluated, and reviewers may revise their assigned numeric scores based on the evaluation criteria (*see* Evaluation Criteria section of this notice and Section V.1. of the corresponding FFO) as a result of the conference call, video conference, and/or site visit.

**(4) Ranking and Selection.** Based upon an average of the technical reviewers' final scores, an adjectival rating will be assigned to each application in accordance with the following scale:

Fundable, Outstanding (91-100 points);

Fundable, Very Good (81-90 points);

Fundable (70-80 points); or

Unfundable (0-69 points).

For decision-making purposes, applications receiving the same adjectival rating will be considered to have an equivalent ranking, although their technical review scores, while comparable, may not necessarily be the same.

The Selecting Official is the NIST Associate Director for Innovation and Industry Services or designee. The Selecting Official makes the final recommendation to the NIST Grants Officer regarding the funding of applications under this notice and the corresponding FFO. The Selecting Official shall be provided all applications, all the scores and technical assessments of the reviewers, and all information obtained from the applicants during the evaluation, review and negotiation processes.

The Selecting Official will generally select and recommend the most meritorious application for an award based on the adjectival rankings and/or one or more of the six (6) selection factors described in the Selection Factors section of this notice and Section V.3. of the corresponding FFO. The Selecting Official retains the discretion to select and recommend an application out of rank order (*i.e.*, from a lower adjectival category) based on one or more of



the selection factors, or to select and recommend no applications for funding. The Selecting Official's recommendation to the Grants Officer shall set forth the bases for the selection decision.

As part of the overall review and selection process, NIST reserves the right to request that applicants provide pre-award clarifications and/or to enter into pre-award negotiations with applicants relative to programmatic, financial or other aspects of an application, such as but not limited to the revision or removal of proposed budget costs, or the modification of proposed MEP Center activities, work plans or program goals and objectives. In this regard, NIST may request that applicants provide supplemental information required by the Agency prior to award. NIST also reserves the right to reject an application where information is uncovered that raises a reasonable doubt as to the responsibility of the applicant. The final approval of selected applications and issuance of awards will be by the NIST Grants Officer. The award decisions of the NIST Grants Officer are final.

**Federal Awarding Agency Review of Risk Posed by Applicants.** After applications are proposed for funding by the Selecting Official, the NIST Grants Management Division (GMD) performs pre-award risk assessments in accordance with 2 CFR 200.205, which may include a review of the financial stability of an applicant, the quality of the applicant's management systems, the history of performance, and/or the applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities. In addition, prior to making an award where the total Federal share is expected to exceed the simplified acquisition threshold (currently \$150,000), NIST GMD will review and consider the publicly available

information about that applicant in the Federal Awardee Performance and Integrity Information System (FAPIIS). An applicant may, at its option, review and comment on information about itself previously entered into FAPIIS by a Federal awarding agency. As part of its review of risk posed by applicants, NIST GMD will consider any comments made by the applicant in FAPIIS in making its determination about the applicant's integrity, business ethics, and record of performance under Federal awards. Upon completion of the pre-award risk assessment, the Grants Officer will make a responsibility determination concerning whether the applicant is qualified to receive the subject award and, if so, whether appropriate special conditions that correspond to the degree of risk posed by the applicant should be applied to an award.

**Anticipated Announcement and Award Date.** Review, selection, and award processing is expected to be completed in early 2017. The anticipated start date for awards made under this notice and the corresponding FFO is expected to be April 1, 2017.

### **Additional Information**

- a. Application Replacement Pages.** Applicants may not submit replacement pages and/or missing documents once an application has been submitted. Any revisions must be made by submission of a new application that must be received by NIST by the submission deadline.

**b. Notification to Unsuccessful Applicants.** Unsuccessful applicants will be notified in writing.

**c. Retention of Unsuccessful Applications.** An electronic copy of each non-selected application will be retained for three (3) years for record keeping purposes. After three (3) years, it will be destroyed.

### **Administrative and National Policy Requirements.**

**Uniform Administrative Requirements, Cost Principles and Audit Requirements:** Through 2. CFR 1327.101, the Department of Commerce adopted the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards at 2 CFR part 200, which apply to awards made pursuant to this notice and the corresponding FFO. Refer to <http://go.usa.gov/SBYh> and <http://go.usa.gov/SBg4>.

**The Department of Commerce Pre-Award Notification Requirements:** The Department of Commerce will apply the Pre-Award Notification Requirements for Grants and Cooperative Agreements dated December 30, 2014 (79 FR 78390). If the Department of Commerce publishes revised Pre-Award Notification Requirements prior to issuance of awards under this notice and the corresponding FFO, the revised Pre-Award Notification Requirements will apply. Refer to Section VII. of the corresponding FFO, Federal Awarding Agency Contacts, Grant Rules and Regulations for more information.

**Unique Entity Identifier and System for Award Management (SAM):** Pursuant to 2 CFR part 25, applicants and recipients (as the case may be) are required to: (i) be registered in SAM before submitting its application; (ii) provide a valid unique entity identifier in its application; and (iii) continue to maintain an active SAM registration with current information at all times during which it has an active Federal award or an application or plan under consideration by a Federal awarding agency, unless otherwise excepted from these requirements pursuant to 2 CFR 25.110. NIST will not make a Federal award to an applicant until the applicant has complied with all applicable unique entity identifier and SAM requirements. If an applicant has not fully complied with the requirements by the time that NIST is ready to make a Federal award pursuant to this notice and the corresponding FFO, NIST may determine that the applicant is not qualified to receive a Federal award and use that determination as a basis for making a Federal award to another applicant.

**Paperwork Reduction Act:** The standard forms in the application kit involve a collection of information subject to the Paperwork Reduction Act. The use of Standard Forms 424, 424A, 424B, and SF-LLL have been approved by OMB under the respective Control Numbers 4040-0004, 4040-0006, 4040-0007, and 0348-0046.

Notwithstanding any other provision of the law, no person is required to respond to, nor shall any person be subject to a penalty for failure to comply with, a collection of information subject to

the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

**Certifications Regarding Federal Felony and Federal Criminal Tax Convictions, Unpaid Federal Tax Assessments and Delinquent Federal Tax Returns.** In accordance with Federal appropriations law, an authorized representative of the selected applicant(s) may be required to provide certain pre-award certifications regarding Federal felony and Federal criminal tax convictions, unpaid Federal tax assessments, and delinquent Federal tax returns.

**Funding Availability and Limitation of Liability:** Funding for the program listed in this notice and the corresponding FFO is contingent upon the availability of appropriations. In no event will NIST or DoC be responsible for application preparation costs if this program fails to receive funding or is cancelled because of agency priorities. Publication of this notice and the corresponding FFO does not oblige NIST or DoC to award any specific project or to obligate any available funds.

**Other Administrative and National Policy Requirements:** Additional administrative and national policy requirements are set forth in Section VI.2. of the corresponding FFO.

**Executive Order 13132 (Federalism):** It has been determined that this notice does not contain policies with federalism implications as that term is defined in Executive Order 13132.

**Executive Order 12372:** Proposals under this program are not subject to Executive Order 12372, “Intergovernmental Review of Federal Programs.”

**Administrative Procedure Act/Regulatory Flexibility Act:** Notice and comment are not required under the Administrative Procedure Act (5 U.S.C. 553) or any other law, for matters relating to public property, loans, grants, benefits or contracts (5 U.S.C. 553(a)). Moreover, because notice and comment are not required under 5 U.S.C. 553, or any other law, for matters relating to public property, loans, grants, benefits or contracts (5 U.S.C. 553(a)), a Regulatory Flexibility Analysis is not required and has not been prepared for this notice, 5 U.S.C. 601 et seq.

Phillip Singerman

Associate Director for Innovations and Industry Services

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